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10 UNITED STATES DISTRICT COURT  
 11 SOUTHERN DISTRICT OF CALIFORNIA

12  
 13 IN RE SONY GRAND WEGA KDF-E  
 A10/A20 SERIES REAR PROJECTION  
 14 HDTV TELEVISION LITIGATION

15 This Document Relates To: All Actions

Master File No. 08-CV-2276-IEG-WVG

**SONY CORPORATION OF  
 AMERICA, SONY ELECTRONICS  
 INC., AND SONY CORPORATION'S  
 NOTICE OF MOTION AND MOTION  
 TO DISMISS FIRST AMENDED  
 CONSOLIDATED COMPLAINT  
 PURSUANT TO FEDERAL RULES  
 OF CIVIL PROCEDURE 12(b)(1),  
 12(b)(6), AND 9(b)**

Date: October 25, 2010  
 Time: 10:30 a.m.  
 Judge: Hon. Irma E. Gonzalez  
 Courtroom: 1

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TO ALL PARTIES AND ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that defendants Sony Corporation of America, Sony Electronics Inc., and Sony Corporation (collectively, “Sony”) respectfully move the above-captioned Court for an order dismissing with prejudice plaintiff’s first amended consolidated complaint and each claim asserted therein pursuant to Federal Rules of Civil Procedure 12(b)(6), 12(b)(1), and 9(b).

This motion will be heard on October 25, 2010, at 10:30 a.m., or as soon thereafter as determined by the Court, located at 940 Front Street, San Diego, CA 92101, before the Honorable Irma E. Gonzalez, courtroom 1.

This motion is based on this Notice of Motion and Motion, the Memorandum of Points and Authorities, the Declaration of Rosemarie Pleschken, the Declaration of Leo P. Norton, and the Request for Judicial Notice, all filed concurrently herewith, all records and papers on file in this action, any oral argument, and any other evidence that the Court may consider in hearing this motion.

Dated: September 20, 2010

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**MEMORANDUM OF POINTS AND  
AUTHORITIES IN SUPPORT OF  
SONY CORPORATION OF  
AMERICA, SONY ELECTRONICS  
INC., AND SONY CORPORATION'S  
MOTION TO DISMISS FIRST  
AMENDED CONSOLIDATED  
COMPLAINT PURSUANT TO  
FEDERAL RULES OF CIVIL  
PROCEDURE 12(b)(1), 12(b)(6), AND  
9(b)**

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1 **I. INTRODUCTION**

2 Plaintiffs purport to represent a nationwide class of consumers supposedly victimized by  
3 alleged “anomalies” on the screen of a certain line of Sony televisions. Plaintiffs, however, admit  
4 that the “anomalies” did not manifest until after more than one year of use. This admission bars  
5 plaintiffs’ claims under settled California and federal authority. Accordingly, defendants Sony  
6 Corporation of America (“SCA”), Sony Electronics Inc. (“SEL”), and Sony Corporation  
7 (collectively “Sony”) again seek dismissal of each of plaintiffs’ claims without leave to amend.

8 The first amended consolidated complaint (the “FACC”) is plaintiffs’ fourth complaint in  
9 this action. It asserts the same eight claims for relief that plaintiffs asserted in their prior  
10 complaints. On August 6, 2010, Judge Whelan dismissed all but one of plaintiffs’ claims with  
11 leave to amend. Plaintiffs chose to amend, superseding the consolidated complaint, and  
12 triggering a new round of pleadings. But the FACC, like the complaints before it, is replete with  
13 fatal defects. Indeed, the FACC is virtually identical to the consolidated complaint, except that it  
14 adds two named plaintiffs and allegations about certain Sony patents. These differences do not  
15 overcome the deficiencies that led to the court’s prior dismissal of plaintiffs’ claims. Each of  
16 plaintiffs’ claims should be dismissed without leave to amend, including plaintiffs’ breach of  
17 express warranty claim, which survived Sony’s last motion to dismiss. Plaintiffs’ breach of  
18 express warranty claim should be dismissed based on additional judicially noticeable facts,  
19 authority, and argument presented in this motion.

20 As an initial matter, plaintiffs continue to demand injunctive relief, but expressly admit in  
21 their “Preliminary Statement” that Sony discontinued manufacturing, marketing, and sales of the  
22 television models at issue. FACC, ¶ 4. Accordingly, plaintiffs lack standing to obtain injunctive  
23 relief under the Unfair Competition Law (“UCL”), False Advertising Law (“FAL”), and  
24 Consumer Legal Remedies Act (“CLRA”). Such injunctive relief claims should be dismissed.

25 Plaintiffs’ UCL, FAL, CLRA, and alternative state law claims should be dismissed on  
26 several independent grounds. Because plaintiffs concede that the alleged defect did not cause  
27 their televisions to malfunction, if at all, until after the one-year warranty period had expired, *see*  
28 FACC, ¶¶ 3, 66, it is well-settled that they cannot maintain their UCL, FAL, and CLRA claims

1 based on Sony's purported failure to disclose the alleged defect. Also, plaintiffs fail to plead their  
2 UCL, FAL, CLRA, and alternative state law claims with particularity as required under Rule 9(b).  
3 Plaintiffs utterly fail to plead: (1) Sony's knowledge of the alleged defect prior to or  
4 contemporaneous with distributing plaintiffs' televisions; (2) the specific representations  
5 allegedly made by Sony; (3) any of the specific advertisements that supposedly victimized the  
6 plaintiffs; (4) any specifics about when or where they supposedly saw or heard such  
7 representations; and (5) reliance on such representations. Further, each of those claims fails  
8 because what little plaintiffs have pled about the alleged misrepresentations shows that they are at  
9 best non-actionable puffery. The FAL claim also fails because plaintiffs do not identify the  
10 advertisements at issue. Their CLRA claim should also be dismissed because all plaintiffs but  
11 one failed to file the statutorily required affidavits. And plaintiffs' overreaching claim under the  
12 laws of other states should be dismissed because plaintiffs assert it on behalf of putative class  
13 members.

14 Plaintiffs' four warranty claims fare no better. Although Judge Whelan denied Sony's  
15 prior motion to dismiss as to their breach of express warranty claim, he did so relying in part on  
16 plaintiffs' out-of-context characterization of a single sentence from Sony's "Operating  
17 Instructions" for the televisions. The instructions were not before the Court on Sony's last  
18 motion to dismiss, but Sony requests judicial notice of them here. Taking the statement in  
19 context and considering additional authority, plaintiffs' breach of express warranty claim cannot  
20 survive this motion. The express, implied, and Song-Beverly Act warranty claims also fail  
21 because the alleged defect arose after the one-year express warranty expired. The implied  
22 warranty claim should also be dismissed on the independent ground that plaintiffs lack vertical  
23 privity – they did not buy the televisions directly from Sony. Plaintiffs' Song-Beverly Act  
24 warranty claim is also defective because the statute only applies to goods sold at retail in  
25 California, but with the potential exception of two plaintiffs out of 47, none of the plaintiffs  
26 bought their televisions at retail in California. Because plaintiffs cannot state a state-law warranty  
27 claim and they again have fewer than 100 named plaintiffs, their Magnuson-Moss Act claim also  
28 necessarily falls.

1 Last, plaintiffs' claims against SCA and Sony Corporation should be dismissed not only  
 2 for each of the reasons set forth above, but also because plaintiffs fail to allege any specific  
 3 conduct by either entity. It is undisputed that the three entities are distinct corporations.  
 4 Although SEL is admittedly their target and plaintiffs' counsel did not name SCA and Sony's  
 5 Corp. in the nearly identical state court action, plaintiffs improperly lump together all three Sony  
 6 entities here in clear violation of Rule 9(b)'s requirement that each defendant be apprised of its  
 7 specific role in the alleged misrepresentations.

8 For the Court's ease of reference, Sony attaches as Appendix I to this brief a table  
 9 summarizing each claim asserted by plaintiffs and the grounds for its dismissal. Because each  
 10 claim suffers from a defect that cannot be cured by amendment and plaintiffs have already had  
 11 *four* opportunities to plead their claims, each claim should be dismissed with prejudice.

## 12 **II. FACTUAL AND PROCEDURAL BACKGROUND**

13 Plaintiffs purport to represent a nationwide class of people who purchased Sony Grand  
 14 WEGA KDF-E A10 and A20 Series LCD Rear Projection HDTV Televisions (the "Televisions").  
 15 FACC, ¶ 3. Plaintiffs contend that the Televisions have an "inherent defect" in the Optical Block  
 16 (referred to in the FACC as the "Defect"). *Id.* Plaintiffs allege that the purported defect  
 17 manifests itself more than one year after normal use, and when it manifests, it "produce[s]  
 18 noticeable video and color anomalies on the screens of the Televisions." *Id.* Plaintiffs' claims  
 19 fall into two categories: (1) claims based on Sony's purported advertising and marketing of the  
 20 Televisions; and (2) Sony's purported breach of warranty obligations.

### 21 **A. Advertising and Marketing Claims.**

22 Plaintiffs assert claims under the UCL (first cause of action), FAL (second cause of  
 23 action), CLRA (third cause of action), and an alternative claim encompassing various consumer  
 24 protection statutes of other states (fourth cause of action), based on Sony's purported advertising  
 25 and marketing of the Televisions. FACC, ¶¶ 88-159. Plaintiffs do not specify the "promotional  
 26 efforts," "advertisements," or "misrepresentations" that form the basis for these claims. Instead,  
 27 plaintiffs generally aver that Sony marketed the Televisions as "offering superior picture quality"  
 28 or providing "excellent video quality." FACC, ¶¶ 57, 70, 74. Plaintiffs' advertising and

1 marketing claims are also premised on Sony’s purported failure to disclose that the Televisions  
2 contained an alleged defect. *See, e.g., id.* at ¶ 92.

3 **B. Warranty Claims.**

4 Plaintiffs assert the following claims based on Sony’s purported breach of its warranty  
5 obligations: breach of the Song-Beverly Consumer Warranty Act (fifth cause of action); breach of  
6 the Magnuson-Moss Act (sixth cause of action); breach of express warranty (seventh cause of  
7 action); and breach of implied warranty (eighth cause of action). FACC, ¶¶ 160-188.

8 **C. SEL’s Express Limited Warranty.**

9 Plaintiffs allege that the Televisions have a one-year written warranty. FACC, ¶¶ 66, 69,  
10 73. Plaintiffs do not attach or quote from the warranty, notwithstanding its importance to  
11 plaintiffs’ claims, and the repeated references to it in the FACC. The Televisions have a written  
12 1-year labor / 1-year parts Limited Warranty (the “Limited Warranty”).<sup>1</sup> The Limited Warranty  
13 provides in relevant part:

14 Sony Electronics Inc. (“Sony”) warrants this Product (including any  
15 accessories) against defects in material or workmanship as follows:

16 1. LABOR: For a period of one (1) year from the date of purchase,  
17 if this Product is determined to be defective, Sony will repair or  
18 replace the Product, at its option, at no charge, or pay the labor  
19 charges to any Sony authorized service facility. After the Warranty  
20 Period, you must pay for all labor charges.

21 2. PARTS: In addition, Sony will supply, at no charge, new or  
22 rebuilt replacements in exchange for defective parts for a period of  
23 one (1) year . . . . After the warranty period, you must pay for all  
24 parts costs.

25 []

26 To obtain warranty service, you must take the Product, or deliver  
27 the Product freight prepaid, in either its original packaging or  
28

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24 <sup>1</sup> The Limited Warranty is attached to Sony’s Request for Judicial Notice filed concurrently  
25 herewith (“Sony RJN”) as Exhibit A. *See also* Declaration of Rosemarie Peschken (“Peschken  
26 Decl.”), ¶ 2 (authenticating Limited Warranty). The court may consider the Limited Warranty in  
27 deciding Sony’s motion under the doctrine of “incorporation by reference” because plaintiffs  
28 repeatedly reference the Limited Warranty in the FACC. *See, e.g.,* FACC, ¶¶ 66, 69, 73, 177-  
179. *Van Buskirk v. Cable News Network, Inc.*, 284 F.3d 977, 980 (9th Cir. 2002); *see also* *Hoey*  
*v. Sony Elecs., Inc.*, 515 F. Supp. 2d 1099, 1103 (N.D. Cal. 2007) (appeal filed August 1, 2008,  
Ninth Circuit Docket No. 08-16780).

1 packaging affording an equal degree of protection, to any  
2 authorized Sony service facility.

3 Sony RJN, Ex. A.

4 **D. Discontinuance of the Televisions.**

5 The Televisions have been discontinued, and Sony no longer manufactures, markets, or  
6 sells them in the United States. FACC, ¶ 4; Peschken Decl., ¶ 4. Sony has no plans to resume  
7 doing so because they have been succeeded by newer models. Peschken Decl., ¶¶ 4-6.

8 **E. Plaintiffs' Experiences With the Televisions.**

9 The FACC is notable for what is missing despite this being plaintiffs' *fourth* complaint.  
10 Plaintiffs again do not allege any facts regarding their respective purchases (or in one case gift)  
11 including: (1) the dates that they purchased the Televisions; (2) where they purchased them; (3)  
12 the dates the Televisions purportedly experienced the defect; (4) the dates they respectively  
13 demanded a warranty repair; (5) the dates they respectively gave Sony notice of the defect; (6) the  
14 dates Sony purportedly refused to repair the Televisions; (7) the price they paid; and (8) whether  
15 they have had the Televisions repaired and the cost of any such repair. FACC, ¶¶ 5-51.

16 Plaintiffs do, however, concede that the alleged defect did not cause the Televisions to  
17 malfunction until after the expiration of the one-year Limited Warranty. FACC, ¶ 3 (defect  
18 manifests after over one year of normal use); *id.* at ¶¶ 5-51 (plaintiffs' respective Televisions  
19 were outside one-year express warranty period when alleged defect caused purported anomalies  
20 and plaintiffs demanded warranty repair).

21 **F. Procedural History.**

22 Plaintiffs filed the original complaint on December 8, 2008. In response, Sony filed a  
23 motion to dismiss raising numerous fatal defects. Docket No. 4. Instead of opposing Sony's  
24 motion, plaintiffs agreed to amend their complaint. Docket No. 7. Plaintiffs filed their first  
25 amended complaint ("FAC") on February 18, 2009. Docket No. 8. Plaintiffs' FAC failed to  
26 address the fatal defects Sony raised in its original motion to dismiss. Accordingly, Sony filed a  
27 second motion to dismiss on March 20, 2009, which was set to be heard on May 4, 2009. Docket  
28 No. 12. In the interim, plaintiffs' counsel filed two other related actions in this court: (1) *Bolton,*



1 *et al. v. Sony Corp. of America, Inc., et al.*, Case No. 09-CV-0620 on March 25, 2009 (“*Bolton*”)  
2 and (2) *Bashore, et al. v. Sony Corp. of America, Inc., et al.*, Case No. 09-CV-0736 on April 10,  
3 2009 (“*Bashore*”). Shortly thereafter, plaintiffs moved to consolidate all three actions. Docket  
4 No. 17. The court granted the motion July 30, 2009, and ordered plaintiffs to file a consolidated  
5 complaint and denied Sony’s then pending second motion to dismiss as moot. Docket No. 25.

6 Plaintiffs filed their consolidated complaint on August 14, 2009 (Docket No. 26), and  
7 Sony filed another motion to dismiss on September 3, 2009 (Docket No. 27). After the court  
8 lifted the brief stay it ordered pending the outcome of a referral to the Judicial Panel on  
9 Multidistrict Litigation (Docket Nos. 32, 37), plaintiffs filed a motion to consolidate the *Smart*,  
10 *Bolton*, and *Bashore* actions with another related action filed in this court, *Mayer, et al. v. Sony*  
11 *Corp. of America, Inc., et al.*, Case No. 09-CV-2703 W (WVG), and to appoint interim counsel.  
12 Docket No. 38.

13 In a misguided attempt to satisfy Rule 9(b)’s requirement that plaintiffs allege knowledge  
14 of the defect with particularity, plaintiffs’ consolidated complaint contained allegations from  
15 purported “confidential sources.” But such allegations proved to be false, and plaintiffs  
16 subsequently withdrew them in this case (Docket Nos. 40-41). Remarkably, plaintiffs’ counsel  
17 asserted the same “confidential sources” allegations in an effort to allege knowledge in a separate  
18 action involving different television models pending against Sony in the Southern District of New  
19 York. Plaintiffs’ counsel were sanctioned under Rule 11 by the Court in the Southern District of  
20 New York for doing so.<sup>2</sup>

21 On August 6, 2010, the court granted-in-part and denied-in-part Sony’s motion to dismiss,  
22 and granted plaintiffs’ motion to consolidate and appoint interim counsel. In the order, Judge  
23 Whelan dismissed all but one of plaintiffs’ claims with leave to amend. Docket No. 48. Notably,

24 \_\_\_\_\_  
25 <sup>2</sup> See Docket No. 27-1 [Sony’s Motion to Dismiss Consolidated Complaint], at 13:15-19; Docket  
26 No. 27-7 [Second Amended Complaint in *Meserole v. Sony Corporation of America, Inc., et al.*,  
27 United States District Court, Southern District of New York, Case No. 08-CV-8987  
28 (“*Meserole*”)]; Docket No. 42-1, Exh. 3 thereto [order to show cause in *Meserole* why statements  
by plaintiffs’ counsel in pleadings and to the court based on “confidential sources” allegations did  
not constitute violations of Rule 11]; Docket No. 46-1, Exh. 5 thereto [Order in *Meserole* publicly  
reprimanding plaintiffs’ counsel under Rule 11].



1 the order found that plaintiffs' allegations regarding what Sony purportedly knew about the  
 2 alleged defect prior to selling the Televisions should be more specific. Nonetheless, on August  
 3 30, 2010, plaintiffs filed the FACC, which is virtually identical to the consolidated complaint.  
 4 Although two named plaintiffs were added, the only substantive changes in the FACC are  
 5 allegations regarding certain patent filings. Consol. Compl., ¶¶ 5-49; FACC, ¶¶ 5-51, 60-64, 70.  
 6 This addition does nothing to show what Sony purportedly knew about the alleged defect. As a  
 7 matter of law, any purported statements in the patent filings cannot show knowledge of any  
 8 purported defect because patent filings speak generally to the state of the art (i.e., LCD  
 9 technology), not a specific Sony product. Further, plaintiffs again point to alleged defects in  
 10 different television models that pre-date the Televisions to argue Sony's knowledge with respect  
 11 to the Televisions at issue in this case. But pointing to an alleged defect in different television  
 12 models cannot establish knowledge as a matter of law. Plaintiffs' FACC should be dismissed  
 13 without leave to amend.

14 **III. PLAINTIFFS' CLAIMS FOR INJUNCTIVE RELIEF SHOULD BE DISMISSED PURSUANT TO**  
 15 **RULE 12(b)(1) BECAUSE PLAINTIFFS LACK STANDING**

16 Plaintiffs seek injunctive relief under their UCL, FAL, and CLRA claims. FACC, ¶¶  
 17 83(k), 87, 95 99, 108. To have standing to do so, a plaintiff must show a likelihood of *future*  
 18 *injury* based on the alleged misconduct. Here, Sony no longer manufactures, markets, or sells the  
 19 Televisions in the United States, and has no plans to do so in the future. Peschken Decl., ¶¶ 4-6.  
 20 Plaintiffs concede this. FACC, ¶ 4. As such, Sony's alleged past conduct presents no threat of  
 21 future harm. Plaintiffs' injunctive relief claims should therefore be dismissed.

22 **A. Legal Standard for Motion To Dismiss Under Rule 12(b)(1).**

23 A Rule 12(b)(1) motion attacks the complaint for lack of subject matter jurisdiction. FED.  
 24 R. Civ. P. 12(b)(1). "Standing pertains to a federal court's subject-matter jurisdiction under  
 25 Article III, and thus, is properly raised in a motion to dismiss under Federal Rule of Civil  
 26 Procedure 12(b)(1)." *In re Ditropan XL Antitrust Litig.*, No. M:06-CV-01761-JSW, 2007 WL  
 27 2978329, \*1 (N.D. Cal. Oct. 11, 2007) (citing *White v. Lee*, 227 F.3d 1214, 1242 (9th Cir. 2000)).  
 28 Although Sony is the moving party, plaintiffs bear the burden of proving jurisdiction. *Stock West*,

1 *Inc. v. Confederated Tribes of the Colville Reservation*, 873 F.2d 1221, 1225 (9th Cir. 1989).  
 2 Moreover, the court presumes a lack of jurisdiction until plaintiffs prove otherwise. *Id.*

3 Sony is entitled to submit evidence in support of its Rule 12(b)(1) motion. *Cattie v. Wal-*  
 4 *Mart Stores, Inc.*, 504 F. Supp. 2d 939, 943 (S.D. Cal. 2007) (holding Rule 12(b)(1) motion can  
 5 be either facial or factual). As this motion is factual, plaintiffs must “furnish evidence necessary  
 6 to satisfy its burden of establishing subject matter jurisdiction.” *Id.* (citing *Savage v. Glendale*  
 7 *Union High Sch., Dist. No. 205, Maricopa County*, 343 F.3d 1036, 1040 n.2 (9th Cir. 2003)).

8 **B. Plaintiffs Lack Standing to Seek Injunctive Relief Against Sony Because They**  
 9 **Cannot Show a Threat of Future Harm.**

10 Plaintiffs must show “a likelihood of future injury” to survive Sony’s motion. *Meinhold*  
 11 *v. Sprint Spectrum, L.P.*, No. Civ. S-07-00456 FCD EFB, 2007 WL 1456141, at \*5 (E.D. Cal.  
 12 May 16, 2007) (quoting *White*, 227 F.3d at 1242). Plaintiffs cannot do so because the allegations  
 13 and evidence establish that any alleged injury occurred in the past and relates to a product that  
 14 Sony no longer makes, sells, or markets. FACC, ¶ 4 (“Sony has now discontinued its  
 15 manufacturing, marketing, and sales of these defective devices ....”); Peschken Decl., ¶¶ 4-6.<sup>3</sup>  
 16 Accordingly, plaintiffs’ injunctive relief claims under the UCL, FAL, and CLRA should be  
 17 dismissed without leave to amend. *See, e.g., Meinhold*, 2007 WL 1456141, at \*5 (dismissing  
 18 UCL, FAL, and CLRA claims because of insufficient evidence that defendant was likely to  
 19 engage in conduct in the future).<sup>4</sup>

20  
 21  
 22  
 23 <sup>3</sup> To the extent plaintiffs seek to enjoin “Sony from withholding information regarding the  
 24 Defect” (FACC, ¶ 95), such a request should be rejected. Disclosure about the alleged defect is  
 25 unnecessary because plaintiffs, by bringing this action, already know about the alleged defect.  
 Also, for the reasons set forth in Section IV.B.1. below, Sony is not obligated to disclose an  
 alleged defect that manifests after the expiration of the Limited Warranty period.

26 <sup>4</sup> *See also, Stickrath v. Globalstar, Inc.*, 527 F. Supp. 2d 992, 997 (N.D. Cal. 2007) (dismissing  
 27 injunctive relief claims under the UCL and CLRA for lack of standing where “any injury  
 28 allegedly suffered [ ] appears to lie solely in the past”); *Janda v. T-Mobile, USA, Inc.*, No. C 05-  
 03729 JSW, 2008 WL 4847116, at \*4 (N.D. Cal. Nov. 7, 2008) (same as to UCL, FAL, and  
 CLRA claims).

1 **IV. THE FACC SHOULD BE DISMISSED PURSUANT TO RULE 12(b)(6) AND RULE 9(b)**  
 2 **BECAUSE PLAINTIFFS FAIL TO STATE A CLAIM UPON WHICH RELIEF CAN BE GRANTED**

3 As was the case with the consolidated complaint, plaintiffs' eight claims all fail to state a  
 4 claim upon which relief can be granted, and should therefore be dismissed with prejudice.

5 **A. Legal Standard for Motion To Dismiss Under Rule 12(b)(6) and Rule 9(b).**

6 "[A] complaint must contain sufficient factual matter, accepted as true, to 'state a claim to  
 7 relief that is plausible on its face.'" *Ashcroft v. Iqbal*, 129 S. Ct. 1937, 1949, 173 L. Ed. 2d 868  
 8 (2009) (quoting *Bell Atlantic Corp. v. Twombly*, 550 U.S. 544, 570, 127 S. Ct. 1955 (2007)). "A  
 9 claim has facial plausibility when the plaintiff pleads factual content that allows the court to draw  
 10 the reasonable inference that the defendant is liable for the misconduct alleged." *Id.* (citing  
 11 *Twombly*, 550 U.S. at 556). This standard "asks for more than a sheer possibility that a defendant  
 12 has acted unlawfully." *Id.* "Where a complaint pleads facts that are 'merely consistent with' a  
 13 defendant's liability, it 'stops short of the line between possibility and plausibility of entitlement  
 14 to relief.'" *Id.* (quoting *Twombly*, 550 U.S. at 557). "Determining whether a complaint states a  
 15 plausible claim for relief . . . requires the reviewing court to draw on its judicial experience and  
 16 common sense." *Id.* at 1950.

17 On a Rule 12(b) motion to dismiss, courts assume the truth of all factual allegations, but  
 18 do not accept conclusions, allegations contradicted by judicially noticed facts, or unwarranted  
 19 inferences as true. *Epstein v. Wash. Energy Co.*, 83 F.3d 1136, 1140 (9th Cir. 1996). Under the  
 20 doctrine of "incorporation by reference," courts may consider documents referenced or relied on  
 21 extensively in a complaint. *Van Buskirk*, 284 F.3d at 980. "[T]he non-conclusory 'factual  
 22 content,' and reasonable inferences from that content, must be plausibly suggestive of a claim  
 23 entitling the plaintiff to relief." *Moss v. U.S. Secret Serv.*, 572 F.3d 962, 969 (9th Cir. 2009).

24 Rule 9(b) mandates that "the circumstances constituting fraud or mistake shall be stated  
 25 with particularity." FED. R. CIV. P. 9(b). It applies to both federal and state law claims, including  
 26 state law claims for alleged violations of the UCL, FAL, and CLRA where such claims are based  
 27 on fraudulent conduct. *Mat-Van, Inc. v. Sheldon Good & Co. Auctions*, No. 07-CV-912 IEG  
 28 (BLM), 2007 WL 3047093, at \*6 (S.D. Cal. Oct. 16, 2007) ("It is well established that Rule

1 9(b)'s requirement[s] . . . appl[y] to state-law causes of action before a federal court.”<sup>5</sup> The  
 2 purposes of Rule 9(b) include: (1) providing defendants with sufficient notice of the serious  
 3 charge of fraud; (2) protecting the reputation of those charged with fraud; and (3) prohibiting  
 4 plaintiffs from unilaterally imposing the enormous social and economic costs associated with  
 5 fraud claims absent a factual basis for doing so. *Kearns*, 567 F.3d at 1125.

6 To satisfy Rule 9(b)'s requirements, a plaintiff must explicitly aver the “who, what, when,  
 7 where, and how” of the alleged fraudulent conduct. *Vess*, 317 F.3d at 1103-04. A plaintiff must  
 8 include allegations about the time, place, and content of the statement or omissions, the identities  
 9 of the parties to them, as well as an explanation as to why the statement or omission complained  
 10 of is false or misleading. *Janda v. T-Mobile, USA, Inc.*, No. C 05-03729 JSW, 2008 WL  
 11 4847116, at \*4 (N.D. Cal. Nov. 7, 2009); *Swartz v. KPMG LLP*, 476 F.3d 756, 764 (9th Cir.  
 12 2007); *In re Actimmune Mktg.*, 2009 WL 3740648, at \*6. A plaintiff must allege reliance on the  
 13 alleged fraudulent conduct with particularity. *See Kearns*, 567 F.3d at 1126.

14 **B. Plaintiffs' UCL, FAL, CLRA, and Alternative State Law Claims Should Be**  
 15 **Dismissed.**

16 Plaintiffs' UCL, FAL, CLRA, and alternative state law claims should be dismissed on  
 17 several independent grounds. First, they fail because plaintiffs allege that the purported defect  
 18 did not cause the Televisions to function improperly until *after the one-year express warranty*  
 19 *expired*, and therefore the claim fails under *Daugherty v. Am. Honda Motor Co.*, 144 Cal. App.  
 20 4th 824 (2006), and its progeny. Second, even though Sony's knowledge of the alleged defect is  
 21 not a consideration under *Daugherty* and its progeny, plaintiffs also fail to plead their fraud-based  
 22 UCL, FAL, CLRA, and alternative state law claims with particularity as required under Rule 9(b).  
 23 The claims also fail because plaintiffs' vague and general allegations regarding representations  
 24 about product quality at best constitute non-actionable puffery. The FAL claim should also be

25 \_\_\_\_\_  
 26 <sup>5</sup> *See also In re Actimmune Mktg.*, No. C 08-02376 MHP, 2009 WL 3740648, at \*6 (N.D. Cal.  
 27 Nov. 6, 2009) (applying Rule 9(b) to UCL, FAL and CLRA claims); *Kearns v. Ford Motor Co.*,  
 28 567 F.3d 1120, 1125 (9th Cir. 2009) (applying Rule 9(b) to CLRA and UCL claims); *Vess v.*  
*Ciba-Geigy Corp. USA*, 317 F.3d 1097, 1103-04 (9th Cir. 2003) (applying Rule 9(b) to UCL and  
 FAL claims).

1 dismissed because plaintiffs do not specify the alleged advertisements at issue. Their CLRA  
 2 claim should also be dismissed because plaintiffs failed to file the statutorily required affidavits.  
 3 Finally, plaintiffs' alternative state law cause of action should be dismissed because plaintiffs  
 4 only assert these claims on behalf of putative class members and because it fails to meet even the  
 5 most liberal pleading standards.

6 **1. Plaintiffs cannot hold Sony liable under the UCL, FAL, and CLRA for**  
 7 **Sony's alleged failure to disclose a purported defect in the Televisions**  
 8 **that did not manifest itself until after the warranty period expired.**

9 The crux of plaintiffs' UCL, FAL, and CLRA claims is that Sony allegedly failed to  
 10 disclose the existence of a purportedly known defect with the Optical Block component in the  
 11 Televisions. FACC, ¶¶ 3, 92, 98, 102. Plaintiffs' claims, however, fail as a matter of law because  
 12 plaintiffs admit that the alleged defect did not cause malfunctions with the Televisions until after  
 13 expiration of the one-year Limited Warranty period. *Id.* at ¶¶ 3, 5-51, 66.

14 It is black-letter California law that a manufacturer cannot be held liable under the UCL,  
 15 FAL, or CLRA for failure to disclose a known defect that manifests itself after expiration of the  
 16 warranty period unless the defect is a safety defect or the manufacturer makes specific  
 17 representations about the product's useful life. *Daugherty*, 144 Cal. App. 4th at 833-39  
 18 (affirming trial court's dismissal of UCL and CLRA claims based on a failure to disclose an  
 19 alleged defect in an automobile engine); *see also Oestreicher v. Alienware Corp.*, 544 F. Supp. 2d  
 20 964, 969-74 (N.D. Cal. 2008), *aff'd*, No. 08-16290, 2009 U.S. App. LEXIS 7259 (9th Cir. Apr. 2,  
 21 2009) (dismissing UCL, FAL, and CLRA claims based on failure to disclose alleged defect in  
 22 computers that manifested after warranty expired); *Long v. Hewlett-Packard Co.*, No. C 06-02816  
 23 JW, 2007 WL 2994812, at \*8 (N.D. Cal. July 27, 2007) (dismissing CLRA and UCL claims  
 24 based on failure to disclose a defect where computers functioned normally during one-year  
 25 warranty period); *Clemens v. DaimlerChrysler Corp.*, 534 F.3d 1017, 1025-27 (9th Cir. 2008)  
 26 (affirming trial court's grant of summary judgment as to UCL claim because failure to disclose  
 27 defect resulting in post-warranty failure is not actionable).

28 The seminal case is *Daugherty*. In that case, the plaintiffs owned Honda cars containing  
 an allegedly defective engine. *Id.* at 827. The plaintiffs brought claims under the UCL and

1 sections (a)(5) and (a)(7) of the CLRA<sup>6</sup> for Honda’s “conceal[ment] and fail[ure] to disclose” that  
 2 the car’s engines “contain[ed] a defect,” and for “continuing to market and sell defective cars  
 3 notwithstanding knowledge of the defect.” *Id.* at 833. The court affirmed the trial court’s  
 4 dismissal of the plaintiff’s claims, holding that a manufacturer cannot be found liable under the  
 5 UCL and CLRA as a matter of law for failing to disclose a defect in a product that does not  
 6 manifest itself until after expiration of warranty expired. *Id.* at 836-40. Although the court  
 7 acknowledged that a claim under the CLRA could be stated in terms of a “fraudulent omission,” it  
 8 determined that “to be actionable the omission must be contrary to a representation actually made  
 9 by the defendant, or an omission of a fact the defendant was obliged to disclose.” *Id.* at 835. The  
 10 court held that Honda’s only affirmative representations at the time of sale were its express  
 11 warranties, as to which no breach occurred, and that Honda had no duty to disclose defects that  
 12 did not carry an unreasonable risk of physical injury or threat to safety. *Id.* at 836-37.

13 In *Long*, the court followed *Daugherty* and dismissed UCL and CLRA claims based on  
 14 failure to disclose a defect in computers without leave to amend where the computers at issue did  
 15 not malfunction until after the one-year limited warranty. *Long*, 2007 WL 2994812, at \*8.  
 16 Specifically, as to the UCL and CLRA claims, it held: “In this case, HP is not alleged to have  
 17 made any representation as to the life of [the defective component], or even as to the useful life of  
 18 its [computers]. As such, a consumer’s only reasonable expectation was that the [computers]  
 19 would function properly for the duration of HP’s limited one-year warranty. HP fulfilled this  
 20 expectation. Accordingly, HP’s alleged failure to disclose the inverter defect is not actionable  
 21 under either the CLRA or the UCL.” *Id.*

22 Here, *Daugherty* and its progeny are directly on point. Plaintiffs concede that the alleged  
 23 defect did not manifest or otherwise affect the performance of the Televisions until after the  
 24 expiration of the one-year Limited Warranty. FACC, ¶¶ 3, 5-51, 66. Moreover, plaintiffs do not  
 25 allege any representations by Sony about the Optical Block or the useful life of the Televisions.  
 26 *See, e.g., Daugherty*, 144 Cal. App. 4th at 836-37 (finding plaintiffs “did not allege a single

27 \_\_\_\_\_  
 28 <sup>6</sup> Plaintiffs’ CLRA claims are premised on purported violations of these same sections.  
 FACC, ¶ 102.



1 affirmative representation by Honda regarding the [allegedly defective] engine”) (citation  
 2 omitted). Nor do plaintiffs allege that the defect involves an unreasonable risk of physical injury  
 3 or threat of safety. Accordingly, plaintiffs’ UCL, FAL, and CLRA claims should be dismissed.  
 4 *See, e.g., Oestreicher*, 544 F. Supp. 2d at 970 (“[S]ince any defects in question manifested  
 5 themselves after expiration of the warranty period, plaintiff’s CLRA claim must be barred under  
 6 *Daugherty*, . . . , and *Long*.”).

7 **2. Plaintiffs’ consumer statute claims fail to satisfy Rule 9(b)’s**  
 8 **heightened pleading requirements.**

9 Plaintiffs’ UCL, FAL, CLRA, and alternative state law claims are subject to Rule 9(b)’s  
 10 particularity requirements because plaintiffs allege that Sony engaged in a unified course of  
 11 fraudulent conduct.<sup>7</sup> *See Kearns*, 567 F.3d at 1125-26. Plaintiffs do not meet Rule 9(b)’s  
 12 exacting requirements. The crux of plaintiffs’ consumer protection statute claims is that: (1)  
 13 plaintiffs purchased the Televisions at some unspecified time; (2) the Televisions purportedly  
 14 manifested the alleged defect at some unspecified time after the one-year limited warranty  
 15 expired; (3) Sony marketed the Televisions as “offering superior picture quality”; and (4) Sony  
 16 allegedly had knowledge that the Televisions contained the alleged defect based on patent filings  
 17 and Sony’s experience with different predecessor television models. FACC, ¶¶ 3, 5-51, 57, 60-  
 18 64, 66. Such bare bones allegations are not enough under Rule 9(b).

19 First, plaintiffs have not put forth any particularized factual allegations showing that Sony  
 20 knew or should have known about the alleged defect several years ago at the time plaintiffs  
 21 purchased the Televisions or that Sony had a duty to disclose. Although Sony’s knowledge of the  
 22 alleged defect is irrelevant under *Daugherty* because the alleged defect did not manifest until after  
 23 the Limited Warranty period and was not a safety defect, plaintiffs must still plead Sony’s  
 24 knowledge with particularity under Rule 9(b). *Meserole*, 2009 WL 1403933, at \*4-\*5, \*8

25 <sup>7</sup> The FACC is replete with allegations of fraud by misrepresentation or omission. *See, e.g.*  
 26 FACC, ¶¶ 3-4, 57, 68, 90, 111, 112-158. Plaintiffs also allege that Sony has engaged in a uniform  
 27 course of fraudulent conduct through a “deceptive marketing campaign,” “misleading marketing,”  
 28 and “promotional efforts.” *Id.* at, ¶¶ 3, 83(e), 90, 92. Each of plaintiffs’ consumer statute claims  
 are premised on this conduct. *See, e.g., Id.* at, ¶¶ 90-94 (UCL claim); *id.* at ¶ 98 (FAL claim); *id.*  
 at ¶ 102 (CLRA claim); *id.* at ¶ 111 (alternative state law cause of action).

1 (dismissing plaintiffs' UCL, FAL, and CLRA claims because "[p]laintiffs have not put forth any  
 2 particularized allegations evincing that Sony knew about the alleged [optical block] defect prior  
 3 to distributing the products to [p]laintiffs."); see *Maniscalco v. Brother Int'l Corp.*, Civil Action  
 4 No.: 06-CV-4907(FLW), 2008 U.S. Dist. LEXIS 50122, at \*35 (D.N.J. June 26, 2008) (plaintiffs'  
 5 conclusory allegation that defendant knew about the defect prior to purchase was insufficient to  
 6 defeat motion to dismiss; "Court will not infer an essential element" of the action).

7 Plaintiffs' attempt to plead knowledge by referring to patent filings also fails. The patent  
 8 filings do not and cannot show Sony's knowledge. Any purported statements regarding potential  
 9 "disadvantages" with LCD technology in the patents speak generally to the state of the art (i.e.,  
 10 LCD technology), not a specific Sony product. See Sony RJN, Exhs. B, C, D, E.<sup>8</sup> Moreover,  
 11 some of the patents pre-date the sale of the Televisions by many years, undercutting plaintiffs'  
 12 assumed link to the Televisions. See *id.*, at Exh. D (originally filed October 11, 1996); Exh. B  
 13 (originally filed September 11, 1998); Exh. C (PCT filed December 4, 2001); *cf.* *Allison v.*  
 14 *Brooktree Corp.*, 999 F. Supp. 1342, 1354 (S.D. Cal. 1998) (allegations of problems encountered  
 15 bringing a product to market were insufficient to alleging scienter). Also, if anything, the patent  
 16 filings demonstrate that Sony *did not* have knowledge of any potential defect in the Televisions.  
 17 This is because the patent filings disclose Sony inventions to improve upon and overcome any  
 18 potential issues or "disadvantages" identified in the patents with the then state of LCD  
 19 technology. See Sony RJN, Exhs. B, C, D, E. Inventions that improve LCD technology and  
 20 continual product improvement are the opposite of distributing a knowingly defective product.

21 Plaintiffs' allegations regarding alleged defects in different television models that pre-date  
 22 the Televisions are similarly unavailing. See *Meserole*, 2009 WL 1403933, at \*4, \*8 (finding  
 23 allegations regarding predecessor models of televisions insufficient to establish plausible basis for  
 24 inferring that Sony was aware of the defect prior to plaintiffs purchasing the televisions at issue);  
 25 *Clayton v. Ford Motor Co.*, 214 P.3d 865, 874-875 (Utah Ct. App. 2009) (affirming exclusion of  
 26

27 <sup>8</sup> The court may consider the patent filings in deciding Sony's motion under the doctrine of  
 28 "incorporation by reference" because plaintiffs repeatedly reference these patent filings in the  
 FACC. See, e.g., FACC, ¶¶ 61, 61, 64, 70. See also *Van Buskirk*, 284 F.3d at 980.



1 evidence of a different defect in a different vehicle model). Also, plaintiffs admit – albeit through  
 2 artful pleading – that the optical blocks in the predecessor models were not *identical* to the  
 3 Televisions, but rather purportedly based on the same “core-technology.” FACC, ¶ 64.

4 Second, plaintiffs do not specifically identify a single piece of marketing material or  
 5 advertisement that they claim is deceptive or misleading. *See Meserole*, 2009 WL 1403933, at  
 6 \*4. Nor do plaintiffs identify when or where they purportedly saw these materials, explain how  
 7 these materials are purportedly deceptive or misleading, or establish how they relied on them in  
 8 deciding to purchase their television. *Id.* at \*4 \*5. Instead, plaintiffs make only a few vague  
 9 allegations that Sony purportedly represented the Televisions as being of “high,” “excellent,” or  
 10 “superior” quality. FACC, ¶¶ 57, 70, 74, 102(e)-(f). But these purported representations do not  
 11 state anything about the alleged defect at issue here (degradation of the optical block). *See*  
 12 *Meserole*, 2009 WL 1403933, at \*4 (noting alleged optical block defect “bears little connectivity  
 13 to alleged advertisements” regarding picture quality); *Bardin v. DaimlerChrysler Corp.*, 136 Cal.  
 14 App. 4th 1255, 1276 (2006) (holding no affirmative misrepresentation about alleged defect).  
 15 Plaintiffs do not allege a single affirmative representation by Sony regarding the optical block.

16 **3. Plaintiffs’ UCL, FAL, CLRA, and alternative state law claims should**  
 17 **be dismissed because the alleged statements are non-actionable**  
 18 **puffery, were true during the warranty period, and do not relate to the**  
 19 **alleged defect.**

19 Plaintiffs’ vague and generalized allegations that Sony marketed the Televisions as  
 20 providing “superior picture quality,” “excellent video quality,” or “high quality” video playback  
 21 and display are insufficient to support plaintiffs’ consumer statute claims based on purported  
 22 “misrepresentations.” FACC, ¶¶ 57, 70, 74, 102(e)-(f). First, the phrases “superior quality,”  
 23 “excellent quality,” and “high quality” are “non-actionable puffery” that cannot support a UCL,  
 24 FAL, CLRA, or alternative state law claim. *Oestreicher*, 544 F. Supp. 2d at 973-74 (dismissing  
 25 UCL and FAL claims based on “generalized and vague statements of product superiority”); *see*  
 26 *also Anunziato v. eMachines, Inc.*, 402 F. Supp. 2d 1133, 1139-40 (C.D. Cal. 2005)  
 27 (“Generalized, vague, and unspecified assertions constitute mere puffery upon which a reasonable  
 28 consumer could not rely, and hence are not actionable.”) (quotation marks and citation omitted).

1 Second, plaintiffs admit that there were no issues with picture or video “quality” until after the  
2 one-year Limited Warranty expired. FACC, ¶ 3. Third, the alleged misstatements do not even  
3 relate to the alleged defect. *Tietzworth v. Sears, Roebuck & Co.*, No. C 09-00288 JF (HRL), 2009  
4 U.S. Dist. LEXIS 40872, at \*27 (N.D. Cal. May 14, 2009) (no misrepresentations where  
5 statements are “mere puffery” and do not relate to alleged defect).

6 **4. The FAL claim should be dismissed for failure to identify the**  
7 **advertisements at issue.**

8 Plaintiffs’ FAL claim should be dismissed for failure to apprise Sony of the alleged  
9 wrongful conduct, where plaintiffs merely allege that Sony “caused” certain, unspecified  
10 “advertisements” to be placed in the public. FACC, ¶ 98; *see, e.g., Inter-Mark USA, Inc. v. Intuit,*  
11 *Inc.*, No. C-07-04178 JCS, 2008 WL 552482, at \*10 (N.D. Cal. Feb. 27, 2008) (dismissing FAL  
12 claim for failure to meet Rule 8’s requirements because plaintiff did “not provide adequate notice  
13 to Defendant of the alleged wrongful conduct” where “[i]n the claim, Plaintiff refers only to  
14 unspecified ‘commercial advertisements’ and a ‘variety of promotional materials’”).

15 **5. The CLRA claim should be dismissed because plaintiffs’ failed to file**  
16 **the required affidavits.**

17 California Civil Code section 1780(d) states that if a plaintiff seeking relief under the  
18 CLRA fails to file an affidavit stating facts showing that the action has been commenced in the  
19 appropriate county, the complaint shall be dismissed. Cal. Civ. Code, § 1780(d). Here, only  
20 plaintiff Julio Real filed the required affidavit, and therefore, the CLRA claim should be  
21 dismissed as to the other plaintiffs.

22 **6. Plaintiffs’ alternative state law cause of action based on the laws where**  
23 **“class members” reside should be dismissed.**

24 Plaintiffs also bring an alternative cause of action under the consumer protection laws of  
25 the states where putative “class members” reside. Plaintiffs themselves contend that California  
26 law applies and invoke California law in pleading their claims. FACC, ¶¶ 88-108, 110, 160-165,  
27 171-188. By its own terms, plaintiffs’ alternative cause of action is asserted on behalf of  
28

1 unnamed putative class members if, and only if, the court determines that California law does not  
2 apply to them. *Id.*, at ¶ 110. Plaintiffs' alternative state law claim should be dismissed.

3 First, plaintiffs assert the claim only on behalf of unnamed class members, not  
4 individually. Plaintiffs put the proverbial cart before the horse. Prior to class certification, there  
5 is no class, and plaintiffs' claims must rise and fall on their own. Hypothetical allegations or  
6 claims of the class are not considered on a motion to dismiss. *See, e.g., Denny v. Barber*, 576  
7 F.2d 465, 468-69 (2nd Cir. 1978); *Speyer v. Avis Rent a Car Sys., Inc.*, 415 F. Supp. 2d 1090,  
8 1094 (S.D. Cal. 2005). Further, a substantial choice of law analysis is premature at this stage of  
9 the action. *In re Conagra Peanut Butter Prods. Liab. Litig.*, No. 1:07-MD-1845-TWT, 2008 U.S.  
10 Dist. LEXIS 40753, at \*34-35 (N.D. Ga. May 21, 2008) (premature to conduct a rigorous choice  
11 of law analysis on motion to dismiss and more appropriate at class certification stage).

12 Second, as discussed above, the alternative state law cause of action should also be  
13 dismissed for failure to satisfy Rule 9(b)'s requirement that allegations of fraud be pled with  
14 particularity, which "applies to state-law causes of action before a federal court" sounding in  
15 fraud. *Mat-Van, Inc.*, 2007 WL 3047093, at \*6. Rule 9(b) has been held to apply the alternative  
16 consumer statutes that plaintiffs allege in their alternative state law cause of action.<sup>9</sup>

17 Third, plaintiffs' method of pleading this alternative state law cause of action fails to  
18 satisfy the United States Supreme Court's *Twombly* and *Ashcroft* standards because plaintiffs'  
19 alternative claim is nothing more than a formulaic recitation of over forty statutes and  
20 subsections. Plaintiffs do not make any allegations identifying the subsections at issue, nor do  
21 plaintiffs apply the statutes to their allegations or apprise Sony of how it allegedly violated over  
22 forty statutes. *See Twombly*, 127 S. Ct. at 1964-65; *Ashcroft*, 129 S. Ct. at 1949 (same).

23 Fourth, assuming *arguendo* that the consumer protection laws of other states apply,  
24 plaintiffs each fail to state a claim under their home states' laws because: (1) the alleged  
25

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26 <sup>9</sup> *See, e.g., Patel v. Holiday Hospitality Franchising, Inc.*, 172 F. Supp. 2d 821, 825 (N.D. Tex.  
27 2001) (finding claims under Texas Deceptive Trade Practice Act were insufficient under Rule  
28 9(b)); *Williams v. Scottrade, Inc.*, No. 06-10677, 2006 WL 2077588, at \*7-8 (E.D. Mich. July 24,  
2006) (dismissing claim under Michigan Consumer Protection Act under Rule 9(b)).

1 representations amount to non-actionable puffery;<sup>10</sup> (2) the states are either in accord with  
 2 *Daugherty* or should follow *Daugherty* if they have not yet addressed the issue;<sup>11</sup> or (3) plaintiffs  
 3 failed to satisfy other statutory requirements.<sup>12</sup>

4 **C. Plaintiffs' Warranty Claims Should Each Be Dismissed Because Sony Has**  
 5 **Not Breached Any Warranty Obligation.**

6 **1. Plaintiffs' claim for breach of express warranty should be dismissed**  
 7 **because the Televisions allegedly malfunctioned outside the warranty**  
 8 **period.**

9 Plaintiffs admit that the alleged defect did not manifest itself until after the one-year  
 10 Limited Warranty expired and that they did not give Sony notice of the defect until after the  
 11 Limited Warranty expired. *See, e.g.*, FACC, ¶ 3 (defect manifests after more than one year of  
 12 normal use); *id.* at ¶¶ 5-51 (plaintiffs' respective Televisions were outside express warranty when  
 13 they demanded warranty repair from Sony). Plaintiffs therefore cannot state a claim for breach of  
 14 express warranty.

15 “The general rule is that an express warranty ‘does not cover repairs made after the  
 16 applicable time ... periods have elapsed.’” *Daugherty*, 144 Cal. App. 4th at 830 (dismissing  
 17 express warranty claim where the plaintiff failed to submit a claim within the warranty period)  
 18 (quoting *Abraham v. Volkswagen of Am., Inc.*, 795 F.2d 238, 250 (2d Cir. 1986)).<sup>13</sup> Because

19 <sup>10</sup> *Viches v. MLT, Inc.*, 124 F. Supp. 2d 1092, 1098 (E.D. Mich. 2000); *Fields v. Melrose Ltd.*  
 20 *P'ship*, 439 S.E.2d 283, 285 (S.C. Ct. App. 1993); *Sw. Bell Media, Inc. v. Spencer*, No. 72,702,  
 21 1990 Okla. Civ. App. LEXIS 60, \*5-7 (Okla. Ct. App. 1990); *Bay Colony v. Trendmaker, Inc.*,  
 22 121 F.3d 998, 1004 (5th Cir. 1997); *Rockland Trust Co. v. Computer Assocs. Int'l, Inc.*, No. 95-  
 23 11683-DPW, 2007 WL 2746804, \*12-14 (D. Mass. 2007).

24 <sup>11</sup> *See Canal Elec. Co. v. Westinghouse Elec. Co.*, 973 F.2d 988, 993 (1st Cir. 1992); *Theos &*  
 25 *Sons, Inc. v. Mack Trucks, Inc.*, 729 N.E.2d 1113, 1118 n. 10 (Mass. 2000); *Cline v.*  
 26 *DaimlerChrysler Co.*, 114 P.3d 468, 473 (Okla. Civ. App. 2005); *In re Ford Motor Co. Speed*  
 27 *Control Deactivation Switch Prods. Liab. Litig.*, MDL Docket No. 1718, 2007 U.S. Dist. LEXIS  
 28 62483, at \*19-20 (E.D. Mich. Aug. 24, 2007).

<sup>12</sup> *See Tex. Bus. & Com. Code Ann. § 17.505(a)* (60 day notice requirement); *Miller v. Kossey*,  
 802 S.W.2d 873, 877 (Tex. App. Amarillo 1991, writ denied) (holding failure to give notice  
 mandated dismissal); Mass Gen. Laws ch. 93A, § 9(3) (30 day notice requirement); *Entrialgo v.*  
*Twin City Dodge, Inc.*, 333 N.E.2d 202, 204 (Mass. 1975) (stating notice is prerequisite to suit).

<sup>13</sup> *See also Anunziato*, 402 F. Supp. 2d at 1141 (dismissing breach of express warranty claim  
 finding that plaintiff's express warranty had expired and therefore the plaintiff's “claims based on  
 breach of the express warranty fails as a matter of law”); *Tokio Marine & Fire Ins. Co. v.*

1 plaintiffs admit that their televisions did not malfunction within the one-year Limited Warranty  
2 period, their breach of express warranty claim fails.

3 In light of Judge Whelan’s prior ruling on plaintiffs’ breach of express warranty claim,  
4 Sony anticipates that plaintiffs will again rely on (i) their allegation in paragraph 70 that the  
5 operating instructions state that the Televisions can be used for “years” and (ii) the cases *Hicks v.*  
6 *Kaufman & Broad Home Corp.*, 89 Cal. App. 4th 908 (2001) and *Long v. Hewlett-Packard Co.*,  
7 316 Fed. App’x 585 (9th Cir. 2009) (“*Long II*”) to argue that they can plead a breach of express  
8 warranty claim by alleging that all of the Televisions were “substantially certain to result in  
9 malfunction during the useful life.” *Id.* at 918. Neither saves plaintiffs’ express warranty claim  
10 from dismissal.

11 First, plaintiffs quote the statement from Sony’s Operating Instructions for the Televisions  
12 out of context and mischaracterize it. FACC, ¶ 70. Read in context, the statement relates to the  
13 importance of performing periodic maintenance, and appears in a section detailing specific  
14 maintenance instructions. Sony RJN, Ex. F at p. 11 of A10 Series Operating Instructions, p. 10 of  
15 A20 Series Operating Instructions; Peschken Decl., ¶ 3. The statement does not alter the one-year  
16 Limited Warranty period, nor does it specify the “useful life” of the Televisions. Indeed, the  
17 Operating Instructions expressly refer the customer to the Limited Warranty. *See* Sony RJN, Ex.  
18 F at p. 3 of A10 Series Operating Instructions and p. 1 of A20 Series Operating Instructions  
19 (cautioning that certain imprints are not covered by “your warranty”), p. 95 of A10 Series  
20 Operating Instructions (listing the “Warranty Card” among the supplied accessories).<sup>14</sup>

21 Second, *Hicks* is distinguishable. *See Tietsworth v. Sears, Roebuck & Co.*, No. 5:09-CV-  
22 00288 JF (HRL), 2010 WL 1268093, at \*13 (N.D. Cal. Mar. 31, 2010) (distinguishing *Hicks* and  
23 finding that if *Hicks* were applied over *Daugherty*, it would “eviscerate any limitations put in  
24 place by an express warranty” (citation omitted)). *Hicks* addressed the procedural question of

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25 *McDonnell Douglas Corp.*, 617 F.2d 936, 941 (2d Cir. 1980) (applying California law and  
26 rejecting claim of breach of express warranty to “repair or replace” defective product because the  
warrantor “was never asked to do so” within the warranty period).

27 <sup>14</sup> Plaintiffs do not allege that they followed any of the maintenance instructions in the Operating  
28 Instructions. FACC, ¶¶ 5-51. Also, Sony’s alleged representation that the Televisions could be  
enjoyed “for years to come” is non-actionable puffery. *See* Section IV.B.2, *supra*.

1 class certification, not a motion to dismiss or demurrer as in *Daugherty*. Underscoring that point,  
 2 other than Judge Whelan’s prior ruling, Sony is not aware of any California federal district court  
 3 or Ninth Circuit opinion that has followed *Hicks* in the context of a motion to dismiss a breach of  
 4 express warranty claim. On the other hand, numerous California district court and Ninth Circuit  
 5 opinions have held that a breach of express warranty claim should be dismissed where the alleged  
 6 defect manifests outside the time limits of an express warranty, which is in accord with  
 7 *Daugherty*.<sup>15</sup> Also, *Hicks* pre-dates *Daugherty* by five years, and the same appellate court  
 8 decided both cases. Additionally, *Hicks* involved a home’s foundation, which has an indefinite  
 9 useful life. The court in *Hicks* specifically distinguished a home’s foundation from cases  
 10 involving consumer products like the Televisions here. *Hicks*, 89 Cal. App. 4th at 922-23. Last,  
 11 assuming *arguendo* that *Hicks* applies over *Daugherty* and its progeny, plaintiffs have not alleged  
 12 facts demonstrating that all of the Televisions were “substantially certain to result in malfunction  
 13 during the useful life.” *Hicks*, 89 Cal. App. 4th at 918. The FACC does not allege the  
 14 Televisions’ useful life, much less that all of the “hundreds of thousands” of Televisions sold  
 15 failed in that time period. FACC, ¶¶ 4, 81.<sup>16</sup>

16 Third, *Long II* is inapposite. *Long II* stated in dicta that *Hicks* *may* be an exception to the  
 17 *Daugherty* rule, but it did not decide the issue. *Long II*, 316 Fed. App’x at 586. Further, *Long II*  
 18 affirmed the dismissal of the breach of express warranty claim where the defect manifested after  
 19 the warranty period. *Id.* If anything, the case supports Sony’s position.

20 Apparently recognizing that Sony did not breach its express warranty, plaintiffs appear to  
 21 seek to expand the express warranty period by alleging that it is unconscionable, insufficient, or

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22  
 23 <sup>15</sup> See *Clemens*, 534 F.3d at 1023; *Tietsworth*, 2010 WL 1268093, at \*13; *Cirulli v. Hyundai*  
 24 *Motor Co.*, No. SACV 08-0854 AG (MLGx), 2009 WL 5788762, at \*5 (C.D. Cal. June 12,  
 2009); *Tietsworth*, 2009 WL 3320486, at \*11; *Mauro v. Gen. Motors Corp.*, No. CIV. S-07892  
 FCD GGH, 2008 WL 2775004, at \*9 (E.D. Cal. July 15, 2008).

25 <sup>16</sup> Any reliance on *Hewlett-Packard Co. v. Super. Ct.*, 167 Cal. App. 4th 87 (2008) is similarly  
 26 misplaced. *Hewlett-Packard Co. v. Super. Ct.* addressed the procedural question of class  
 27 certification, not the substantive issue of the merits of the claim, and expressly recognized that  
 28 *Daugherty* may bar some of the class members’ claims. *Hewlett-Packard*, 167 Cal. App. 4th at  
 95. Also, in that case, the named plaintiff’s claims were based on an alleged malfunction *during*  
 the warranty period. *Id.* at 89.



1 inadequate because of the one-year time limitation. FACC, ¶ 73. Any such argument is without  
 2 merit. *See, e.g., Ball v. Sony Elecs., Inc.*, No. 05-C-307-S, 2005 WL 2406145, at \*6 (W.D. Wis.  
 3 Sept. 28, 2005) (rejecting this same argument and explaining that “[Sony’s] offer to repair or  
 4 replace the product under the circumstances enhanced rather than limited plaintiffs’ contractual  
 5 rights and is therefore not unconscionable”); *Clemens*, 534 F.3d at 1023 (recognizing  
 6 manufacturer may warrant product for period of time less than a product’s useful life);  
 7 *Daugherty*, 144 Cal. App. 4th at 830 (same); *Tietsworth*, 2009 U.S. Dist. LEXIS 40872, at \*7-8  
 8 (no unconscionability where no showing of lack of alternatives or surprise by warranty period).

9 To the extent plaintiffs contend that their failure to seek coverage during the warranty  
 10 period is excused because Sony allegedly knew about the defect (FACC, ¶ 73, 178), their  
 11 contention should be rejected. *Daugherty*, 144 Cal. App. 4th at 830. *Daugherty* dismissed this  
 12 argument, noting that “[s]everal courts have expressly rejected the proposition that a latent defect,  
 13 discovered outside the limits of the written warranty, may form the basis for a valid express  
 14 warranty claim [even] if the warrantor knew of the defect at the time of sale.” *Id.* Relying on  
 15 *Daugherty*, the Ninth Circuit also held that such a contention was without merit:

16 Every manufactured item is defective at the time of sale in the sense  
 17 that it will not last forever; the flip-side of this original sin is the  
 18 product’s useful life. If a manufacturer determines that useful life  
 19 and warrants the product for a lesser period of time, we can hardly  
 say that the warranty is implicated when the item fails after the  
 warranty period expires. The product has performed as expressly  
 warranted.

20 *Clemens*, 534 F.3d at 1023.<sup>17</sup>

21 **2. Plaintiffs’ breach of implied warranty claim should also be dismissed**  
 22 **for lack of privity and failure to seek warranty coverage during the**  
**one-year warranty period.**

23 First, under California law, a plaintiff bringing an implied breach of warranty claim must  
 24 stand in vertical privity with the defendant – meaning the plaintiff must have bought the product

25 <sup>17</sup> Any attempt by plaintiffs to claim that Sony’s Limited Warranty guaranteed that the  
 26 Televisions were defect-free (*see* FACC, ¶ 173) must be rejected. *Ball*, 2005 WL 2406145, at \*3  
 27 (rejecting argument that written warranty was “a representation that the [product] was free of  
 28 defects,” instead recognizing that “a written warranty . . . is an express acknowledgment that the  
 product may be defective”); *Hoey*, 515 F. Supp. 2d, at 1104; *Long*, 2007 WL 2994812, at \*7-8.  
 The Limited Warranty here guarantees no such thing.

1 directly from the defendant. *See Arabian v. Sony Elecs., Inc.*, No. 05-CV-1741 WQH (NLS),  
 2 2007 WL 627977, at \*10 (S.D. Cal. Feb. 22, 2007) (recognizing that “California has a vertical  
 3 privity requirement” for “implied warranty claims”); *Anunziato*, 402 F. Supp. 2d at 1141  
 4 (dismissing breach of implied warranty claim for lack of vertical privity with manufacturer where  
 5 the plaintiff purchased the computer at issue from a retailer). None of the plaintiffs alleges that  
 6 he or she bought his or her television directly from Sony. FACC, ¶¶ 5-51. Plaintiffs vaguely  
 7 allege that potentially some putative class members purchased Televisions from SEL’s  
 8 Sonystyle.com website. FACC, ¶ 76. But, as discussed above, allegations pertaining to unnamed  
 9 class members can not be considered on a motion to dismiss. Because plaintiffs do not allege that  
 10 they purchased their televisions directly from Sony, their breach of implied warranty claim fails.

11 Second, plaintiffs’ failure to allege that they sought warranty coverage during the one-year  
 12 Limited Warranty period also defeats their breach of implied warranty claims. In California,  
 13 “[t]he duration of the implied warranty of merchantability and where present the implied warranty  
 14 of fitness shall be coextensive in duration with an express warranty which accompanies the  
 15 consumer goods, provided the duration of the express warranty is reasonable; but in no event  
 16 shall such implied warranty have a duration of less than 60 days nor more than one year following  
 17 the sale of new consumer goods to a retail buyer.” Cal. Civ. Code § 1791.1(c); *Tietsworth*, 2009  
 18 U.S. Dist. LEXIS 40872, at \*7-8 (dismissing implied warranty claim and stating that “duration of  
 19 the implied warranty of merchantability is coextensive with an express warranty, but in no case is  
 20 shorter than sixty days or longer than one year following sale of the goods”). Here, the Limited  
 21 Warranty for the Televisions is one year (Sony RJN, Exh. A), which is also the maximum  
 22 duration for an implied warranty under California law. Plaintiffs do not allege that they sought  
 23 warranty service within one year of their purchases. Their claim should be dismissed.<sup>18</sup>

24 \_\_\_\_\_  
 25 <sup>18</sup> Sony anticipates that plaintiffs will rely on *Mexia v. Rinker Boat Co.*, 174 Cal. App. 4th 1297  
 26 (2009) in opposition to Sony’s motion to dismiss. Plaintiffs’ implied warranty claim should be  
 27 dismissed notwithstanding *Mexia*. First, the case is contrary to established California law on the  
 28 duration of the implied warranty. California courts have repeatedly confirmed that the duration of  
 the implied warranty is one year. *See, e.g., Atkinson v. Elk Corp. of Tex.*, 142 Cal. App. 4th 212,  
 230 (2006) (“[T]he duration of the implied warranty of merchantability under California law is  
 limited to one year.”) Indeed, a separate appellate panel affirmed this established rule two weeks



1                                   **3. Plaintiffs' Song-Beverly Act claim should be dismissed.**

2           Plaintiffs contend that Sony violated Section 1793.2(a)(3) and (b) of the Song-Beverly  
3 Consumer Warranty Act by allegedly failing to “provide the effective repair or replacement of the  
4 defective Televisions within 30 days.” FACC, ¶ 164. This claim fails for two reasons.

5           First, plaintiffs did not satisfy their duty to deliver the product to Sony for repair or to  
6 notify it of the defect *within the express warranty period*. CAL. CIV. CODE, § 1793.02(c);  
7 *Robertson v. Fleetwood Travel Trailers of Cal., Inc.*, 144 Cal. App. 4th 785, 807-08 (2006)  
8 (stating “duty squarely on the consumer to deliver the product to the authorized facility for  
9 service and repairs”); *Jiagbogu v. Mercedes-Benz USA*, 118 Cal. App. 4th 1235, 1244 (2004)  
10 (same). Plaintiffs admit that the alleged defect occurred after the express warranty period  
11 expired. By the statute’s plain terms, plaintiffs’ Song-Beverly Act claim should be dismissed.

12           Second, the Song-Beverly Act only applies to California retail transactions. *Anunziato*,  
13 402 F. Supp. 2d at 1142 (dismissing Song-Beverly Act claim for failure to plead in-California  
14 purchase) (citing CAL. CIV. CODE, § 1792); *Morgan v. Harmonix Music Sys., Inc.*, No. C08-5211  
15 BZ, 2009 WL 2031765, at \*2 (N.D. Cal. July 7, 2009) (dismissing Song-Beverly Act claim  
16 because of plaintiffs’ failure to identify where and from whom they purchased disputed goods).  
17 Here, plaintiffs do not allege that they purchased the Televisions at retail in California. Only two  
18 plaintiffs allege that they even reside in California (FACC, ¶¶ 43, 50), but they do not allege  
19 where they purchased their televisions.

20 \_\_\_\_\_  
21 after *Mexia*. See *Hovsepian v. Apple, Inc.*, Nos. 08-5788 JF (PVT), 09-1064 JF (PVT), 2009 WL  
22 2591445 \*8, n.6, n.7 (N.D. Cal. Aug. 21, 2009). Second, because it is contrary to established  
23 California law, district courts have questioned its validity. See, e.g., *Tietzworth*, 2009 WL  
24 3320486, at \*12 n.6 (noting that “*Mexia* appears to be something of an outlier”); *Butler v. Sears,*  
25 *Roebuck & Co.*, Nos. 06 C 7023, 07 C 412, 08 C 1832, 2009 WL 3713687, at \*3 n.4 (N.D. Ill.  
26 Nov. 4, 2009) (noting that *Mexia*’s holding contradicts “[t]he majority position”). Third, *Mexia*  
27 limited its analysis to alleged defects that render a product unmerchantable from the outset.  
28 *Mexia*, 174 Cal. App. 4th at 1304-05. But plaintiffs admit that their televisions worked without  
issue for several years. FACC, ¶¶ 3, 5-51, 66. Fourth, even if the implied warranty is breached  
by the existence of a latent defect at the time of the sale, plaintiffs are still bound by the terms of  
Sony’s Limited Warranty. See *Berenblat v. Apple, Inc.*, Nos. 08-4969 JF (PVT), 09-1649 JF  
(PVT), 2010 WL 1460297, at \*3 n.2 (N.D. Cal. Apr. 9, 2010). The Limited Warranty provides  
that Sony will repair or replace a defective television for a period of one year from the purchase  
date.

1                   **4. The Magnuson-Moss Act claim should be dismissed.**

2           First, plaintiffs' Magnuson-Moss Act claim necessarily fails because it is dependant on  
3 plaintiffs pleading a valid state law warranty claim. *Daugherty*, 144 Cal. App. 4th at 832-833.

4           Second, the Magnuson-Moss Act states: "No claim shall be cognizable . . . if the action is  
5 brought as a class action, and the number of named plaintiffs is less than one hundred."  
6 15 U.S.C. § 2310(d)(3)(C); *see also Churchill Vill., L.L.C. v. Gen. Elec.*, 361 F.3d 566, 574 n.5  
7 (9th Cir. 2004) (stating that "[plaintiffs'] claims do not appear viable in light of the requirement  
8 of 100 named plaintiffs to maintain a federal class action based on the [Magnuson-Moss] Act").  
9 Here, plaintiffs have 47 named plaintiffs, far short of 100. FACC, ¶¶ 5-51. Accordingly,  
10 plaintiffs' Magnuson-Moss Act claim should be dismissed.

11                   **D. The Claims Against SCA And Sony Corporation Should Be Dismissed**  
12                   **Because Plaintiffs Lack Any Basis To Sue Them.**

13           Plaintiffs' claims against SCA and Sony Corporation should also be dismissed because  
14 plaintiffs admit that SCA and Sony Corporation did not participate in the alleged conduct  
15 underlying plaintiffs' claims. Plaintiffs' primary target in this action is SEL, the entity that is  
16 purportedly responsible for the alleged "wrongful acts and practices," and that provided the  
17 express warranty. FACC, ¶¶ 2, 110; Sony RJN, Exh. A. Plaintiffs seek to hold SCA and Sony  
18 Corporation liable based on the entities' parent-subsidary relationship with SEL. *Id.*, at ¶¶ 52-  
19 55.<sup>19</sup> However, parent-subsidary and agency allegations are insufficient to overcome the  
20 presumption of corporate separateness. *Neilson v. Union Bank of Cal., N.A.*, 290 F. Supp. 2d  
21 1101, 1116-18 (C.D. Cal. 2003) (recognizing presumption and dismissing claims against parent  
22 company). Also, their tactic of lumping together three distinct Sony entities violates Rule 9(b).  
23 *See, e.g., Swartz v. KPMG LLP*, 476 F.3d 756, 764-65 (9th Cir. 2007) (per curiam) ("Rule 9(b)  
24 does not allow a complaint to merely lump multiple defendants together") (quotation marks and

25 <sup>19</sup> Plaintiffs generally allege that Sony Corporation "designed, manufacture, and marketed the  
26 Televisions." FACC, ¶ 54. But plaintiffs' admissions that SEL is responsible for the alleged  
27 "wrongful acts and practices" and issued the express warranty directly contradict this allegation.  
28 FACC, ¶¶ 2, 110; Sony RJN, Exh. A. It should therefore be disregarded. *See Cimino v. Yale Univ.*, 638 F. Supp. 952, 959 (D. Conn. 1986) ("Allegations of a conclusory nature do not suffice to establish a claim in opposition to specific contradicting allegations.").

1 citation omitted).<sup>20</sup> Accordingly, all plaintiffs' UCL, FAL, and CLRA claims against SCA and  
 2 Sony Corporation should be dismissed for this additional reason.<sup>21</sup>

3 **V. THE FACC SHOULD BE DISMISSED WITHOUT LEAVE TO AMEND.**

4 The FACC is plaintiffs' *fourth* complaint in this action. *See*, Docket Nos. 1, 8, 26, 51.  
 5 Yet plaintiffs' FACC suffers from the same defects as the prior complaints, and no amendment  
 6 will change the fact that plaintiffs Televisions did not exhibit any defect until after the one-year  
 7 Limited Warranty expired, which defeats each of plaintiffs' claims. Accordingly, the FACC  
 8 should be dismissed without leave to amend. *Zucco Partners, LLC v. Digimarc Corp.*, 552 F.3d  
 9 981, 1007 (9th Cir. 2009) (affirming dismissal of third complaint with prejudice); *Leadsinger,*  
 10 *Inc. v. BMG Music Publ'g*, 512 F.3d 522, 532-33 (9th Cir. 2008) (affirming dismissal without  
 11 leave to amend where "any amendment would be futile").

12 **VI. CONCLUSION**

13 For each of the reasons stated above, Sony respectfully requests that the court grant its  
 14 motion to dismiss and without leave to amend.

15 Dated: September 20, 2010

COOLEY LLP  
 MICHAEL A. ATTANASIO (151529)  
 MICHELLE C. DOOLIN (179445)  
 LEO P. NORTON (216282)

/s/ Michael A. Attanasio

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22 <sup>20</sup> Tellingly, plaintiffs sued SCA and Sony Corporation despite their counsel having not done so  
 23 in the related state court action. *See* Sony RJN, Ex. G.

24 <sup>21</sup> Additionally, UCL, FAL, and CLRA do not apply to claims asserted by non-California  
 25 plaintiffs against non-California entities for harm allegedly occurring outside of California.  
 26 *Norwest Mortgage, Inc. v. Super. Ct.*, 72 Cal. App. 4th 214, 224-25 (1999); *Arabian*, 2007 WL  
 27 627977, at \*9; *see also* *Cattie*, 504 F. Supp. 2d at 949. Here, all but two of the plaintiffs (Julio  
 28 Real and Napoleon Valeriano) are non-California residents, and neither SCA nor Sony  
 Corporation is incorporated in or has its principal place of business in California. FACC, ¶¶ 5-54.  
 Any purported conduct that SCA or Sony Corporation engaged in would have occurred outside  
 California. As such, purported UCL, FAL, and CLRA claims against SCA or Sony Corporation  
 by the 45 non-California plaintiffs should be dismissed on this additional ground.

**APPENDIX I**  
**SUMMARY OF CLAIMS AND GROUNDS FOR DISMISSAL**

<b>Cause(s) of Action</b>	<b>Claim</b>	<b>Ground(s) For Dismissal</b>
First, Second, and Third	Injunctive Relief	No standing to pursue injunctive relief
First, Second, and Third	UCL, FAL, and CLRA	No liability for failure to disclose alleged Defect manifesting itself after expiration of the warranty
First, Second, Third and Fourth	UCL, FAL, CLRA, and Alternative State Law	Purported “misrepresentations” regarding the Televisions are non-actionable “puffery”
First, Second, Third, and Fourth	UCL, FAL, CLRA, and Alternative State Law	Failure to meet Rule 9(b)’s requirements
Second	FAL	Failure to identify the advertisements at issue
Third	CLRA	Failure to file affidavits
Fourth	Alternative State Law Cause of Action	(1) No basis to consider hypothetical claims of unnamed class members; and (2) failure to plead non-speculative factual allegations ( <i>Twombly</i> )
Fifth	Song-Beverly Consumer Warranty Act	(1) plaintiffs do not allege that they delivered the Televisions to Sony for repair or notified Sony of the alleged “Defect” within the warranty period; and (2) Act only applies to goods sold in California
Sixth	Magnuson-Moss Act	(1) plaintiffs fail to state breach of warranty claims under California law; and (2) FACC does not have 100 named plaintiffs per statute
Seventh	Breach of Express Warranty	Plaintiffs do not allege that they sought warranty coverage during the warranty period.
Eighth	Breach of Implied Warranty	(1) plaintiffs do not allege that they sought warranty coverage during the warranty period; and (2) plaintiffs lack vertical privity with Sony
All claims against SCA and Sony Corporation (First through Eighth)	All	(1) SEL marketed, sold, and warranted the Televisions, not these entities; (2) no specific allegations against these entities in violation of Rule 9(b); (3) statutes do not apply to claims asserted by non-California plaintiffs against non-California entities

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SONY CORPORATION  
9

10 UNITED STATES DISTRICT COURT  
11 SOUTHERN DISTRICT OF CALIFORNIA  
12

13 IN RE SONY GRAND WEGA KDF-E  
A10/A20 SERIES REAR PROJECTION  
14 HDTV TELEVISION LITIGATION

15 This Document Relates To: All Actions  
16  
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Master File No. 08-CV-2276-IEG-WVG

**DECLARATION OF LEO P.  
NORTON IN SUPPORT OF SONY  
CORPORATION OF AMERICA,  
SONY ELECTRONICS INC., AND  
SONY CORPORATION'S MOTION  
TO DISMISS FIRST AMENDED  
CONSOLIDATED COMPLAINT  
PURSUANT TO FEDERAL RULES  
OF CIVIL PROCEDURE 12(b)(1),  
12(b)(6), AND 9(b)**

Date: October 25, 2010  
Time: 10:30 a.m.  
Judge: Hon. Irma E. Gonzalez  
Courtroom: 1

1 I, Leo P. Norton, declare as follows:

2 1. I am an attorney licensed to practice law in the State of California, and I am  
3 admitted to practice before this Court. I am an associate with the law firm of Cooley LLP,  
4 attorneys for defendants Sony Corporation of America, Sony Electronics Inc., and Sony  
5 Corporation (collectively “Sony”) in this action. I make this declaration in support of Sony’s  
6 Motion to Dismiss First Amended Consolidated Complaint Pursuant to Federal Rules of Civil  
7 Procedure 12(b)(1), 12(b)(6), and 9(b) and Request for Judicial Notice in support thereof. As an  
8 attorney for Sony, I have personal knowledge of the facts set forth in this Declaration, and if  
9 called upon to testify, I could and would testify competently thereto.

10 2. Attached as Exhibit B to Sony’s Request for Judicial Notice is a true and correct  
11 copy of United States Patent Number 6,132,049, “Picture display apparatus and cooling apparatus  
12 for optical apparatus,” filed September 11, 1998, and issued October 17, 2000, which is a publicly  
13 available through the United States Patent and Trademark Office.

14 3. Attached as Exhibit C to Sony’s Request for Judicial Notice is a true and correct  
15 copy of United States Patent Number 7,123,33 B2, “Liquid Crystal Display Device and Liquid  
16 Crystal Projector Device,” filed December 4, 2001, and issued October 17, 2006, which is  
17 publicly available through the United States Patent and Trademark Office.

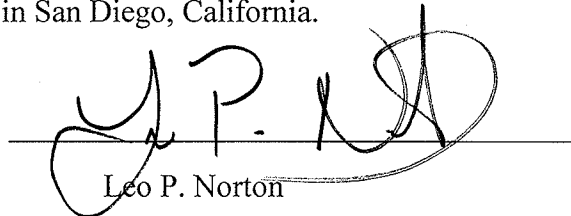
18 4. Attached as Exhibit D to Sony’s Request for Judicial Notice is a true and correct  
19 copy of United States Patent Number 5,757,443, “Transmission-Type Display Device With a  
20 Heat-Dissipating Glass Plate External To At Least One Liquid Crystal Substrate,” filed October  
21 11, 1996, and issued May 26, 1998, which is publicly available through the United States Patent  
22 and Trademark Office.

23 5. Attached as Exhibit E to Sony’s Request for Judicial Notice is a true and correct  
24 copy of United States Patent Number 7,535,543 B2, “Liquid Crystal Display Apparatus and  
25 Cooling Device,” filed November 29, 2005, and issued May 19, 2009, which is publicly available  
26 through the United States Patent and Trademark Office.

27 6. Attached as Exhibit G to Sony’s Request for Judicial Notice is a true and correct  
28 copy of the complaint in the action entitled *Omerod, et al. v. Sony Electronics Inc., et al.*,

1 Superior Court of California, County of San Diego, Case No. 37-2009-00085333-CU-BT-CTL,  
2 which is publicly available through the San Diego County Superior Court.

3 I declare under penalty of perjury under the laws of the United States that the foregoing is  
4 true and correct. Executed on September 20, 2010, in San Diego, California.

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15 SONY CORPORATION

16 UNITED STATES DISTRICT COURT  
17 SOUTHERN DISTRICT OF CALIFORNIA

18 IN RE SONY GRAND WEGA KDF-E  
19 A10/A20 SERIES REAR PROJECTION  
20 HDTV TELEVISION LITIGATION

21 This Document Relates To: All Actions

Master File No. 08-CV-2276-IEG-WVG

**DECLARATION OF ROSEMARIE  
PESCHKEN IN SUPPORT OF SONY  
CORPORATION OF AMERICA,  
SONY ELECTRONICS INC., AND  
SONY CORPORATION'S MOTION  
TO DISMISS FIRST AMENDED  
CONSOLIDATED COMPLAINT  
PURSUANT TO FEDERAL RULES  
OF CIVIL PROCEDURE 12(b)(1),  
12(b)(6), AND 9(b)**

Date: October 25, 2010  
Time: 10:30 a.m.  
Judge: Hon. Irma E. Gonzalez  
Courtroom: 1

22 I, Rosemarie Peschken, declare:

23 1. I am Vice President of Finance for Sony Electronics Inc. ("SEL"). I have personal  
24 knowledge of the facts set forth in this Declaration, and if called upon to testify, I could and  
25 would testify competently thereto.

26 2. SEL sold the Sony Grand WEGA KDF-E A10 and A20 Series LCD Rear  
27 Projection HDTV Televisions (the "Televisions") with a written 1-year labor/1-year parts Limited  
28



1 Warranty. A true and correct copy of the Limited Warranty for both of these Televisions is  
2 attached as Exhibit A to Sony's Request for Judicial Notice, filed concurrently herewith.


3 3. SEL also sold the Televisions with written Operating Instructions. A true and  
4 correct copy of the Operating Instructions for the Televisions is attached as Exhibit F to Sony's  
5 Request for Judicial Notice, filed concurrently herewith.

6 4. The Televisions have been discontinued and have not been manufactured by SEL  
7 since 2006. There are no plans to begin manufacturing the Televisions again as they have been  
8 succeeded by next models, all of which incorporate newer technology. It is my understanding  
9 that these successor models are not at issue in this lawsuit.

10 5. SEL does not currently sell the Televisions through any of its sales channels,  
11 namely, its own direct online store or retail locations. SEL has no plans to resume selling the  
12 Televisions.

13 6. Accordingly, SEL no longer manufactures, markets, or sells the Televisions to  
14 consumers in the United States and will not do so in the future. SEL does not produce or  
15 distribute marketing or promotional materials for the Televisions and does not advertise the  
16 Televisions in print, radio, television, Internet, or any other media. Similar to other discontinued  
17 models, SEL does maintain a small inventory of the Televisions (approximately 7 as of January  
18 12, 2009), but these will not be resold to the public.

19 I declare under penalty of perjury under the laws of the United States that the foregoing is  
20 true and correct. Executed on September 20, 2010, at San Diego, California.

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23 Rosemarie Peschken  
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SONY ELECTRONICS INC., and  
SONY CORPORATION

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

In re Sony Grand WEGA KDF-E A10/A20  
Series Rear Projection HDTV Television  
Litigation

Case No. 08 CV 2276 IEG (WVG)

**CERTIFICATE OF SERVICE**

Judge: Hon. Irma E. Gonzalez  
Courtroom: 1

1 I hereby certify that on September 20, 2010, I filed the foregoing document(s) in compliance with  
2 Local Rule CV-5(a):

- 3 • **SONY CORPORATION OF AMERICA, SONY ELECTRONICS INC., AND**  
4 **SONY CORPORATION'S NOTICE OF MOTION AND MOTION TO DISMISS**  
5 **FIRST AMENDED CONSOLIDATED COMPLAINT PURSUANT TO**  
6 **FEDERAL RULES OF CIVIL PROCEDURE 12(B)(1), 12(B)(6), AND 9(B);**
- 7 • **MEMORANDUM OF POINTS AND AUTHORITIES IN SUPPORT OF SONY**  
8 **CORPORATION OF AMERICA, SONY ELECTRONICS INC., AND SONY**  
9 **CORPORATION'S MOTION TO DISMISS FIRST AMENDED**  
10 **CONSOLIDATED COMPLAINT PURSUANT TO FEDERAL RULES OF**  
11 **CIVIL PROCEDURE 12(B)(1), 12(B)(6), AND 9(B);**
- 12 • **REQUEST FOR JUDICIAL NOTICE IN SUPPORT OF SONY CORPORATION**  
13 **OF AMERICA, SONY ELECTRONICS INC., AND SONY CORPORATION'S**  
14 **MOTION TO DISMISS FIRST AMENDED CONSOLIDATED COMPLAINT**  
15 **PURSUANT TO FEDERAL RULES OF CIVIL PROCEDURE 12(B)(1), 12(B)(6),**  
16 **AND 9(B);**
- 17 • **DECLARATION OF LEO P. NORTON IN SUPPORT OF SONY**  
18 **CORPORATION OF AMERICA, SONY ELECTRONICS INC., AND SONY**  
19 **CORPORATION'S MOTION TO DISMISS FIRST AMENDED**  
20 **CONSOLIDATED COMPLAINT PURSUANT TO FEDERAL RULES OF**  
21 **CIVIL PROCEDURE 12(B)(1), 12(B)(6), AND 9(B);**
- 22 • **DECLARATION OF ROSEMARIE PESCHKEN IN SUPPORT OF SONY**  
23 **CORPORATION OF AMERICA, SONY ELECTRONICS INC., AND SONY**  
24 **CORPORATION'S MOTION TO DISMISS FIRST AMENDED**  
25 **CONSOLIDATED COMPLAINT PURSUANT TO FEDERAL RULES OF**  
26 **CIVIL PROCEDURE 12(B)(1), 12(B)(6), AND 9(B);**

17 with the Clerk of Court using the CM/ECF system, which will send notification of such filing to  
18 the following attorney(s) of record at the following listed email address(es):

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This document was served on all counsel who are deemed to have consented to electronic service. Local Rule CV-5(a)(3)(A). Pursuant to Federal Rule of Civil Procedure 5, all other counsel of record not deemed to have consented to electronic service were served with a true and correct copy of this document via U.S. First Class Mail.

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